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PATENT

Date: November 21, 2001

Docket No. 16513-8679

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on November 21, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL879969294US addressed to: Box Patent Application, United States Patent and Trademark Office, P.O. Box 2327, Arlington, Virginia 22202.

09/990760
11/21/01

Clyde L. Smith
(Type name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

Box Patent Application
United States Patent and Trademark Office
P.O. Box 2327
Arlington, Virginia 22202

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Nykoluk, Cory O.; Mittleman, D.

For : PIVOTAL HANDLE FOR TOWABLE BAGGAGE

Enclosed are:

1. Benefit of Prior U.S. Application (35 USC 120)

__X__ The new application being transmitted claims the benefit of a prior U.S. application and enclosed is added page for new application transmittal where benefit of a prior U.S. application claimed.

2. The Papers Required For Filing Under 37 CFR 1.53:

__21__ Pages of Specification
__1__ Pages of Abstract
__7__ Pages of Claims
__7__ Sheets of Drawing

____ formal __X__ informal

In addition to the above papers there is also attached:
____ Pages of an Amendment
__X__ Return Receipt Postcard
__X__ Information Disclosure Statement

3. Declaration or oath

__X__ Enclosed __4__ pages
- __X__ Newly executed (original or copy)
- ____ Copy from a prior application (continuation/divisional with page 5 of 5 completed)
- ____ Deletion of Inventor(s) (signed statement attached deleting inventor(s) of prior application)

____ Not enclosed

4. Inventorship Statement

The inventorship for all the claims in this application are:

__X__ the same

OR

____ are not the same and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

5. Language

__X__ English ___ Non-English

A verified English translation of the

[check applicable item(s)]

- ____ specification and claims
- ____ declaration

is attached.

6. Assignment

__X__ An assignment of the invention to TRG Accessories, LLC

- __X__ is filed under separate cover sheet
- ____ was filed in the prior application
- ____ will follow

7. Certified Copy

(Country) (Application No.) (Filed)

from which priority is claimed

- ____ is attached
- ____ will follow

8. Fee Calculation

CLAIMS AS FILED

	Number Filed	Provided with Basic Fee	Number Extra	Rate	Basic Fee $740
Total Claims	20	20	0	X $18.00	$.00
Independent Claims	3	3	0	X $84.00	$.00
Multiple Dependent Claim(s), if any	0	0	0	X $280.00	$.00

____ Amendment canceling extra claims enclosed

____ Amendment deleting multiple dependencies enclosed

____ Fee for extra claims is not being paid at this time

Filing Fee Calculation $ 740.00

9. Small Entity Statement

X verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above) $ 370.00

10. Fee Payment Being Made At This Time

X Enclosed

X basic filing fee $ 370.00

Total fees enclosed $ 370.00

11. Method of Payment of Fees

X check in the amount of $ 370.00

Express Mail Label No. EL 929 245 145 US

12. Authorization to Charge Additional Fees

X The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 18-1829;

X 37 CFR 1.16 (filing fees and presentation of extra claims)

X 37 CFR 1.17 (application processing fees)

__ 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b).

13. Instructions As To Overpayment

X credit Account No. 18-1829

14. Correspondence Address

Clyde L. Smith
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7733 Forsyth Boulevard
Suite 1400
St. Louis, Missouri 63105
(314) 727-5188

ADDED PAGE FOR NEW APPLICATION WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED

15. Benefits of Prior U.S. Application

This application is a

____ continuation

__X__ continuation-in-part of prior U.S. application Serial No. 09/705,171 filed November 2, 2000.

____ divisional

International Application __ filed

____ Incorporation by Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item 3., is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

16. Maintenance of Copendency of Prior Application

____ A petition, fee and response has been filed to extend the term in the pending prior application until

17. Conditional Petition for Extension of Time in Parent Application

A conditional petition for extension of time is being filed in the pending parent application.

18. Relate Back -- 35 U.S.C. 120

____ Amend the specification by inserting before the first line the sentence:

This is a

____ continuation
____ continuation-in-part
____ divisional
____ provisional

of copending application

____ Serial number __; filed on
____ PCT International Application __; filed on

19. Abandonment of Prior Application (if applicable)

___ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.